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# Coulomb repulsion versus Hubbard repulsion in a disordered chain

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**Abstract.** We study the difference between on site Hubbard and long range Coulomb repulsions for two interacting particles in a disordered chain. The system size L (in units of the lattice spacing) is of the order of the one particle localization length and the energies are taken near the band center. In the two cases, the limits of weak and strong interactions are characterized by uncorrelated energy levels and are separated by a crossover regime where the states are more extended and the spectra more rigid. U denoting the interaction strength and t the kinetic energy scale, the crossovers take place for interaction energy to kinetic energy ratios U/t and U/(2tL) of order one, for Hubbard and Coulomb repulsions respectively. While Hubbard repulsion can only yield weak critical chaos with intermediate spectral statistics, Coulomb repulsion can drive the two particle system to quantum chaos with Wigner-Dyson spectral statistics. The interaction matrix elements are studied to explain this difference.

PACS. 71.10.-w Theories and models of many electron systems – 73.20.Jc Delocalization processes

# 1 Introduction

In low dimensions  $(d \leq 2)$  disorder always yields [1] a finite localization length  $L_1$  when the particles do not interact and there is no spin-orbit scattering. When one wants to study the role of electron electron interaction, a first issue is to know what kind of interaction is appropriate. When there are many carriers inside a large length  $L_1$  (large density and weak disorder), it looks reasonable to assume weakly interacting Landau quasi-particles and to take the usual short range screened Coulomb repulsion. But, for low carrier densities  $(10^{10} - 10^{11} \text{ carriers per cm}^2 \text{ is nowa-}$ days achieved [2] in two-dimensional heterostructures) the screening of the charges is somewhat problematic and one may find safer to consider bare long range Coulomb repulsion. One has in this case a system having charge crystallization as a natural limit when kinetic energy becomes negligible compared to Coulomb energy. The range of the interaction can also be varied by metallic gates located in the vicinity of the electron gas, as it is often done for having a tunable carrier density. This gives us the motivation to study the difference between on site Hubbard like repulsion and long range Coulomb repulsion in a simple limit: two electrons in a disordered chain. For two electrons with parallel spins, the orbital part of the wave function is antisymmetric and the difference is obviously spectacular: on-site Hubbard repulsion does not play any role in contrast to Coulomb repulsion. We restrict our study to the more complex case of two electrons with opposite spins, with symmetric orbital wave functions.

The problem of two interacting particles (TIP) in one dimension has been mainly studied with on site Hubbard repulsion of strength U. The first problem was to calculate the TIP localization length  $L_2$ . As proposed by Shepelyansky [3] it has been numerically proven [4–6] that interaction delocalizes a certain number of TIP states over a length  $L_2 \gg L_1$ . Additional information and references can be found in two recent conference proceedings [7,8]. For a "contact" interaction as Hubbard repulsion, the TIP system exhibits remarkable properties [9–12]. The mixing of the one body states inside a scale  $L_1$  and the associated delocalization effect for sizes larger than  $L_1$  is maximum for a Hubbard energy to kinetic energy ratio  $U/t \approx U_c$ , where  $U_c$  is the fixed point of a duality transformation [10] mapping the weak U/t limit onto the large U/t limit.

A second question was to describe TIP spectral statistics. For the one body problem, the spectral statistics contains indeed important information: an Anderson insulator has uncorrelated levels (Poisson statistics) whereas a disordered metal displays Wigner-Dyson rigidity characterizing quantum chaos. At the mobility edge, lies a scale invariant critical statistics [14], which exhibits a weaker spectral rigidity associated to weak critical chaos. For the one dimensional TIP system with Hubbard interaction, the spectrum is Poissonian when  $U/t \rightarrow 0$  and  $U/t \rightarrow \infty$ and becomes [10] more rigid when  $U/t \approx U_c$ . However, the maximum possible rigidity does not correspond to Wigner-Dyson rigidity, but to an intermediate rigidity analogous to those characterizing the one body spectrum

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at a mobility edge (critical statistics). It was noticed in reference [11] that those intermediate statistics are also related to very slow interaction induced TIP diffusion at intermediate scales between  $L_1$  and  $L_2$ .

We show in this study that, in contrast to Hubbard repulsion, Coulomb repulsion can drive the TIP one dimensional system to full quantum ergodicity with Wigner-Dyson statistics. From a statistical study of the interaction matrix elements coupling two free particle (2FP) states (*i.e.* the TIP eigenstates at U = 0), one finds that Coulomb repulsion mainly favors hopping terms between 2FP states nearby in energy, with energy separation of the order of the TIP level spacing  $\Delta_2 \propto L^{-2}$ , where Ldenotes the size of the system. Hubbard repulsion mainly induces [10] hopping terms between 2FP states separated by a larger energy  $\Delta_2^{\text{eff}} > \Delta_2$ , and the measure of the coupled 2FP states is multifractal [9].

However, the generic behavior of a TIP system with either Hubbard or Coulomb repulsions can be summarized by three regimes: a free particle limit dominated by Anderson localization; a large interaction limit dominated again by Anderson localization for Hubbard and by charge crystallization for Coulomb; and between those two Poissonian limits lies an intermediate regime characterized by a maximum mixing of the one particle states and a maximum delocalization effect. The intermediate regime in both cases is located around interaction energy to kinetic energy ratios of order one where the TIP system has participation ratios of same order in the two preferential eigenbases characterizing the weak and strong interaction limits.

#### 2 TIP Hamiltonian

The TIP Hamiltonian  $\mathcal{H}$  is given by the sum of two terms: the first  $\mathcal{H}_t$  gives the kinetic energy (parameter t) and the random potentials (parameter W) in which the two particles can move,

$$\mathcal{H}_{\prime} = -t \sum_{\{i,j\}} c_i^+ c_j + W \sum_i v_i n_i.$$
(1)

 $v_i$  is randomly taken in the interval  $\left[-\frac{1}{2}, \frac{1}{2}\right]$ ,  $c_i^+$  creates a particle on the site i and  $n_i = c_i^+ c_i$ . The second term  $\mathcal{U}$  is the two body repulsion, which can be either on site Hubbard repulsion:

$$\mathcal{U} = U \sum_{i} n_i (n_i - 1) \tag{2}$$

or long range Coulomb repulsion:

$$\mathcal{U} = U \sum_{i} n_i (n_i - 1) + \frac{U}{2} \sum_{\substack{i,j=1\\|i-j| \le L/2}}^{L} \frac{n_i n_j}{|i-j|} \cdot$$
(3)

The convention in this work is that two particles at the same site cost an energy 2U (and not U as assumed in previous references [9–12]). An additional cost of energy

U/p has to be paid by two particles separated by a distance p. The boundary conditions (BCs) are taken periodic  $(L/2 \ge p \ge 1)$ .

Let us denote  $(\epsilon_{\alpha}, \psi_{\alpha})$  and  $(E_{\alpha\beta}, \psi_{\alpha\beta})$  the eigenenergies and eigenfunctions of the one particle state  $|\alpha\rangle$  and of the 2FP state  $|\alpha\rangle \bigotimes |\beta\rangle = |\alpha\beta\rangle$  respectively. One has  $E_{\alpha\beta} = \epsilon_{\alpha} + \epsilon_{\beta}$ . The 2FP level spacing is  $\Delta_2 \approx 2B/L(L+1)$ where the band width B = 8t+2W. The one particle localization length  $L_1$  is defined from the weak disorder formula  $[13] L_1 = 100/W^2$ . Hereafter, the energies will be given in units of the kinetic energy hopping term t restricted to nearest neighbors.

#### 3 TIP density of states

When one compares the two repulsions, a first difference appears in the density of states  $\rho_2(E)$ . In the limit  $U \to \infty$ , Hubbard repulsion splits [10] the TIP band in two parts: a small band of L "molecular states" of high energy  $\approx U + 2Wv_i$  corresponding to two electrons localized on the same site i and a main band of L(L-1)/2 "hard core boson" states which remain at the same small energies for  $U \to \infty$  and  $U \to 0$ . The "hard core boson" states are given by the resymmetrization [15] of Slater determinants corresponding to electrons in the one body state  $|\alpha\rangle$  and  $|\beta\rangle$  respectively. Those states do not feel on site interaction, are not coupled to one another and become decoupled from the molecular states of much larger energies when  $U \to \infty$ . When one takes rigid BCs, the resymmetrization is simple and one has exactly  $E_{\alpha\beta}(U \to 0) = E_{\alpha\beta}(U \to \infty)$  for  $\alpha \neq \beta$ . For two electrons in a ring enclosing a flux  $\phi$  the resymmetrization is more subtle and  $E_{\alpha\beta}(\phi + \phi_0/2, U \to 0) = E_{\alpha\beta}(\phi, U \to \infty)$ . For periodic BCs,  $E_{\alpha\beta}$  ( $\alpha \neq \beta$ ) goes to the corresponding 2FP eigenenergy with anti periodic BCs.

In contrast to Hubbard repulsion where the majority of the TIP energy levels does not feel the interaction when  $U \to \infty$ , excepted L "molecular" states, Coulomb repulsion eventually crystallizes all the TIP states as two particle "molecules". When L is even, the sizes of the molecules are  $d = 0, \ldots, L/2$  and the spectrum is split in L/2 subbands of L states  $(d \neq L/2)$  and one subband of L/2 states (d = L/2), each of them centered around an energy U/d (excepted for d = 0 where the energy is 2U by convention). When N is odd, one has (L + 1)/2 subbands of L states. Without disorder, each subband shrinks onto a single L-fold degenerate state obtained from successive translations of the "molecules", a degeneracy which is broken by the random potentials.

The different densities  $\rho_2(E)$  induced by the two repulsions are illustrated in Figure 1, for a chain of size  $L = L_1 = 100$  and various interaction strengths U. The curves show us that the TIP level spacing has essentially the same system size dependence with and without interaction for Hubbard repulsion ( $\Delta_2 \propto BL^{-2}$ ), while it scales quite differently for a large Coulomb repulsion ( $\Delta_2 \propto B_{\rm eff}L^{-1}$ ) where  $B_{\rm eff}$  corresponds to an effective band width associated to the kinetic energy of the "Coulomb molecule".

0. <u>ب</u> 100 ρ(E) ρ(E) 0.0 F 500 (a) 150 1000 ρ(E) ρ(E) 400 500 200 (c) (d) 300 ρ(E) N(E 200 (e) 430 410

1500

Fig. 1. Density of states  $\rho_2(E)$  for  $L = L_1 = 100$ . (a) 2FP states (U = 0), inset: density around  $E \approx 0$  (normalized to 1); (b) Coulomb (U = 5); (c) Hubbard (U = 35) The main band of L(L - 1)/2 states remains centered around E = 0 together with L molecular states at  $E \approx 2U$ . Coulomb repulsion at (d) U = 70 and (e) U = 500 where each subband is centered at  $E \approx U/d$  for  $d = 1, \ldots, L/2$ . (f) Integrated density showing that each subband has L states for Coulomb repulsion (U = 500).

# 4 Interaction driven crossover between two preferential eigenbases

When one turns on Hubbard repulsion, it has been detailed in reference [10] how the TIP system goes from the 2FP basis towards the "hard core boson basis" when  $U \to \infty$ . The dimensionless interaction threshold  $U_c =$  $(24)^{1/4}/2$ , independent of the system size L, was defined near the band center as the fixed point of the duality transformation mapping the distribution of the interaction matrix elements  $\propto U/t$  which couple the 2FP states onto the distribution of the kinetic energy matrix elements  $\propto t/U$ which couple the hard core boson states. At  $U/t \approx U_c$ , the 2FP basis ceases to be preferential compared to the hard core boson basis. Being unable to extend this duality argument for Coulomb interaction, we study the participation ratios  $\chi_0$  of the TIP wavefunctions  $|\Psi\rangle$  onto the 2FP eigenbasis and  $\chi_{\infty}$  onto the basis built out from symmetrized products of site orbitals (site basis). This later

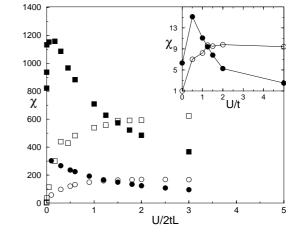


Fig. 2. Participation ratios  $\chi_0$  (empty symbol) and  $\chi_\infty$  (filled symbol) of the TIP eigenstates onto the U = 0 and  $U = \infty$  eigenbases respectively. Main figure: Coulomb repulsion for energies  $\approx 2U/L$  as a function of the ratio U/(2tL). Inset: Hubbard repulsion for energies  $E \approx 0$  as a function of the ratio U/t.  $L = L_1 = 50$  (circle) and  $L = L_1 = 100$  (square).

basis describes the correlated "Coulomb molecules" created when  $U \to \infty.$ 

$$\chi_0 = \left(\sum_{\alpha\beta} |\langle \alpha\beta |\Psi\rangle|^4\right)^{-1}$$
$$\chi_\infty = \left(\sum_{ij} |\langle ij|\Psi\rangle|^4\right)^{-1}.$$
(4)

This allows us to see for Coulomb repulsion where the U = 0 eigenbasis ceases to be preferential compared to the  $U = \infty$  eigenbasis. For Hubbard, the crossover takes place at a dimensionless ratio  $U_{\rm c}\approx 1$  independently of the size L. For Coulomb, the characteristic parameter is the Coulomb energy to kinetic energy ratio  $r_{\rm s}$ . However its definition in our case differs from the usual one, since we are not considering the TIP ground state, but excited states near the band center. Therefore, the kinetic energy should not be evaluated with the ground state momentum K = $2\pi/L$  giving a kinetic energy  $2t(1 - \cos K) \approx t(2\pi/L)^2$ but with a momentum  $K = \pi$  (band center) where the kinetic energy  $\approx 4t$ . Since the Coulomb energy  $\approx 2U/L$ , the ratio  $r_{\rm s}$  becomes U/(2tL), in contrast to the usual definition  $r_{\rm s} \propto UL/t$  valid for the ground state. L is the dimensionless length given in lattice spacing units. Figure 2 shows that the Coulomb crossover takes place when  $U/(2tL) \approx 1.4$ , both for  $L = L_1 = 50$  and  $L = L_1 = 100$ . This confirms that the ratio U/(2tL) is the relevant parameter for Coulomb repulsion at the TIP band center. One can see in the inset of Figure 2 that the same method gives the expected dimensionless ratio  $U_{\rm c} = (24)^{1/4}/2$  for Hubbard repulsion and L = 50.

## 5 Interaction matrix elements in the 2FP basis

The main result of this work is given in Section 7 where the reader can go directly and see a discussion of the TIP spectral statistics, showing the difference between Hubbard and Coulomb repulsions for  $L = L_1$ . The limit  $L = L_1$  is more carefully investigated since the interesting generalization of this study is more to consider the finite density limit where one keeps two particles per unit length  $L_1$ rather than the zero density limit where one takes the limit  $L \to \infty$  for two particles. Nevertheless, it is also useful to study the structure of the interaction matrix elements coupling the 2FP states. This is done in this section, and the results allow to anticipate the statistical differences detailed in Section 7. In addition, Section 6 gives undirect (though not numerically checked) implications of Section 5 which we conjecture for the zero density limit (TIP diffusion and TIP localization length  $L_2$  when  $L > L_1$ ) together with a numerically checked implication of Section 5 (2FP lifetime  $\Gamma_{\alpha\alpha}(L_1)$ ).

The 2FP wave functions have components  $\Psi_{\alpha,\beta}(n,m)$ on the sites  $|nm\rangle$  given by

$$\langle \alpha\beta |nm\rangle = \frac{\psi_{\alpha}(n)\psi_{\beta}(m) + \psi_{\alpha}(m)\psi_{\beta}(n)}{\sqrt{2}}.$$

We denote

$$Q_{\alpha\beta}^{\gamma\delta}(0) = \sum_{n=1}^{L} \psi_{\alpha}^{*}(n)\psi_{\beta}^{*}(n)\psi_{\gamma}(n)\psi_{\delta}(n)$$
(5)

and

$$Q_{\alpha\beta}^{\gamma\delta}(p) = \sum_{n=1}^{L} \frac{\psi_{\alpha}^*(n)\psi_{\beta}^*(n+p)\psi_{\gamma}(n)\psi_{\delta}(n+p)}{|p|} + \text{perm}$$
(6)

where perm means the terms obtained after permuting  $(\alpha \leftrightarrow \beta), (\gamma \leftrightarrow \delta)$  and  $(\alpha \leftrightarrow \beta, \gamma \leftrightarrow \delta)$  for  $p \neq 0$ .

In the 2FP eigenbasis, the interaction matrix elements are

$$\langle \alpha \beta | \mathcal{U} | \gamma \delta \rangle = 4 U Q_{\alpha \beta}^{\gamma \delta}(0) = U H_{\alpha \beta}^{\gamma \delta} \tag{7}$$

for Hubbard repulsion, and

$$\langle \alpha \beta | \mathcal{U} | \gamma \delta \rangle = U(4Q_{\alpha\beta}^{\gamma\delta}(0) + 2\sum_{p=1}^{L/2} Q_{\alpha\beta}^{\gamma\delta}(p)) = UC_{\alpha\beta}^{\gamma\delta} \quad (8)$$

for Coulomb repulsion.

In the absence of disorder and with periodic BCs, the one body states are plane waves  $\psi_{\alpha}(n) = (\exp i k_{\alpha} n)/\sqrt{L}$ and the interaction matrix elements  $\mathcal{U}_{\alpha\beta}^{\gamma\delta}$  only couple 2FP states of same momentum  $K = K_{\alpha\beta} = k_{\alpha} + k_{\beta} = K_{\gamma\delta}$ . For Hubbard, one has when  $L_1 \to \infty$ 

$$H^{\gamma\delta}_{\alpha\beta} \to \frac{4}{L} \delta_{K_{\alpha\beta},K_{\gamma\delta}}.$$
 (9)

The interaction matrix has a block diagonal form. If L is odd, one has L blocks of size  $N_{\rm s} = (L+1)/2$ . If N is even, one has L/2 blocks of size  $N_{\rm s} = L/2$  and L/2 other blocks of size  $N_{\rm s} = L/2 + 1$ . The  $N_{\rm s}$  TIP eigenenergies  $E_n(K)$  of same momentum K are given by the  $N_{\rm s}$  solutions of:

$$\sum_{\gamma\delta} \frac{1}{E_n(K) - E_{\gamma\delta}} = \frac{L}{4U} \tag{10}$$

where  $E_{\gamma\delta} = 2\cos k_{\gamma} + 2\cos k_{\delta}$ . The  $E_n(K)$  alternate with the 2FP energies  $E_{\gamma\delta}$  of same momentum. The TIP spectrum is the uncorrelated sum of L such series of different momenta K.

For Coulomb, the previous block diagonal structure is preserved when  $L_1 \to \infty$ , but each block becomes more complex:

$$C_{\alpha\beta}^{\gamma\delta} \rightarrow \frac{4}{L} \delta_{K_{\alpha\beta},K_{\gamma\delta}} \times \left( 1 + \sum_{p=1}^{L/2} \frac{\exp -i[(k_{\beta} - k_{\gamma})p]}{2p} + \sum_{p=1}^{L/2} \frac{\exp -i[(k_{\beta} - k_{\delta})p]}{2p} + \sum_{p=1}^{L/2} \frac{\exp -i[(k_{\alpha} - k_{\gamma})p]}{2p} + \sum_{p=1}^{L/2} \frac{\exp -i[(k_{\alpha} - k_{\delta})p]}{2p} \right) \cdot$$
(11)

In the presence of a random potential, the absolute values of the matrix elements can be given using a linearly graduated grey scale in the plane  $(\gamma, \delta)$  for a given 2FP state  $|\alpha\beta\rangle$ , the one body states  $|\gamma\rangle$  and  $|\delta\rangle$  being ordered by increasing energies. When W is sufficiently small, TIP momentum remains almost conserved and one can see in the plane  $(\gamma, \delta)$  a white cross made by the two diagonals if  $\alpha = \beta$ . The first diagonal corresponds to coupling to other states  $|\gamma\delta\rangle$  with  $|\gamma\rangle\approx|\delta\rangle$ , the second to coupling to 2FP states  $|\gamma\delta\rangle$  close in energy  $(E_{\alpha\beta}\approx E_{\gamma\delta})$ . When W is larger, Coulomb and Hubbard give rise to a different pattern in the  $(\gamma \delta)$  plane: energy-momentum conservation remains partially preserved by Coulomb repulsion (the second diagonal persists) and is lost by Hubbard repulsion, as shown in Figure 3 for  $L \approx 2L_1 = 100.$  2FP states  $|\alpha\alpha\rangle$ with  $\epsilon_{\alpha} \approx 0$  are considered in Figure 3, but similar conclusions can be drawn from arbitrary 2FP states  $|\alpha\beta\rangle$ .

To explain why  $C_{\alpha\beta}^{\gamma\delta}$  continues to mainly couple  $|\alpha\beta\rangle$  to states  $|\gamma\delta\rangle$  nearby in energy, we note that when  $L_1$  is finite, disorder smears the sharp delta function into a broader Gaussian peak of width  $\sigma \propto L_1^{-1}$ .  $\langle \delta_{K_{\alpha\beta},K_{\gamma\delta}} \rangle \rightarrow \exp{-(K_{\alpha\beta}-K_{\gamma\delta})^2/(2\sigma^2)}$  and  $\langle \exp{-i(k_{\alpha}-k_{\beta})p} \rangle \rightarrow \exp{-(k_{\alpha}-k_{\beta})^2/(2\sigma^2)}$ , one gets respectively

$$H_{\alpha\beta}^{\gamma\delta} \propto \exp{-\frac{(K_{\alpha\beta}-K_{\gamma\delta})^2}{2\sigma^2}}$$

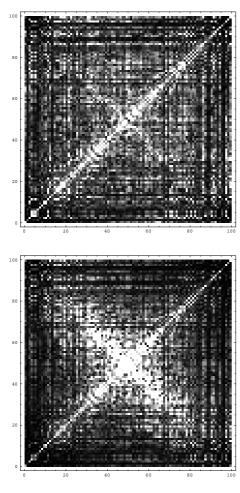


Fig. 3. Magnitude of the absolute value of the interaction matrix elements coupling a given state  $|\alpha\alpha\rangle$  (with  $\epsilon_{\alpha} \approx 0$ ) to  $L^2$  states  $|\gamma\delta\rangle$  for a typical sample with  $L = 2L_1 = 100$ . Upper figure: Hubbard  $|H_{\alpha\alpha}^{\gamma\delta}|$ ; Lower figure: Coulomb  $|C_{\alpha\alpha}^{\gamma\delta}|$  The  $L^2$  absolute values are given in the plane  $(\gamma, \delta)$ . The one particle states are ordered by increasing values of the energy. The white (black) points correspond to maxima (minima) with a linear scale of graduation.

and

$$C_{\alpha\beta}^{\gamma\delta} \propto \exp\left(-\frac{(K_{\alpha\beta} - K_{\gamma\delta})^2}{2\sigma^2}\right) \times \left[2 - \ln\left(\frac{|k_{\beta} - k_{\gamma}|}{\sigma} - \ln\left(\frac{|k_{\beta} - k_{\delta}|}{\sigma}\right) - \ln\left(\frac{|k_{\alpha} - k_{\gamma}|}{\sigma}\right) - \ln\left(\frac{|k_{\alpha} - k_{\delta}|}{\sigma}\right)\right]. \quad (12)$$

This explains the behavior shown in Figure 4 where the disorder averaged amplitude of the hopping terms are given as a function of the energy difference. The *L* hopping terms between the 2FP states  $|\gamma\delta\rangle$  nearby in energy ( $\Delta E \leq \Delta_2 L/2$ ) are much smaller for Hubbard than for Coulomb. For larger energy separation  $\Delta E$ , there is a  $\ln(|\Delta E/\Delta_2|)$  decay which is more pronounced for Coulomb than for Hubbard.

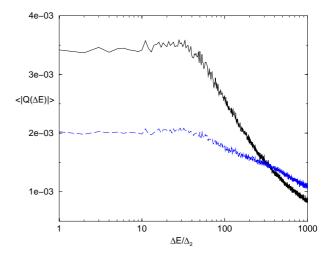


Fig. 4. Disorder average amplitudes of the matrix elements coupling 2FP states separated by an energy  $\Delta E = |E_{\alpha\alpha} - E_{\gamma\delta}|$  (in units of  $\Delta_2$ ) for  $L = 2L_1 = 100$ . Hubbard  $H^{\gamma\delta}_{\alpha\alpha}$  (dashed line) and Coulomb  $C^{\gamma\delta}_{\alpha\alpha}$  (continuous line).

#### 6 2FP lifetime and related issues

Coulomb repulsion couples a density  $\approx \rho_2$  of 2FP states nearby in energy. Hubbard repulsion effectively couples a smaller density  $\rho_2^{\text{eff}} < \rho_2$ , as explained in reference [9]. Let us review three consequences of this difference.

(i) TIP diffusion: In the first studies [3,16,17] of the TIP problem, a density  $\rho_2(L_1)$  of 2FP states coupled by the interaction was assumed for  $L \approx L_1$ . Under this assumption, it was predicted that the TIP dynamics should exhibit interaction assisted diffusion on scales  $L_1 < L < L_2$ , the evolution of the TIP center of mass  $R_2$  as a function of the time  $\tau$  being given [17] by:

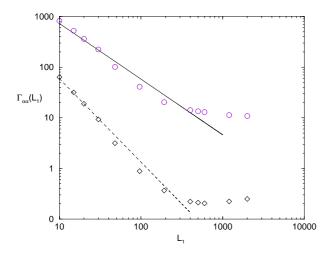
$$R_2(\tau) \approx \sqrt{D_2(\tau)\tau} \tag{13}$$

with  $D_2(\tau)$  is roughly constant, up to  $log(\tau)$  corrections [17]. In reference [11], a much slower propagation  $R_2 \propto \log \tau$  was observed for Hubbard repulsion, attributed to the weak density  $\rho_2^{\text{eff}}(L_1)$  of effectively coupled states. One expects that the original prediction will be at least partially restored for Coulomb repulsion.

(ii) TIP localization: The interaction assisted propagation stops at a scale  $L_2$  characteristic of TIP localization. Assuming  $|\langle \alpha\beta|\mathcal{U}|\gamma\delta\rangle|^2 \propto U^2/L_1^3$  for  $L \approx L_1$ , the enhancement factor  $L_2/L_1$  was originally given by the estimate:

$$\frac{L_2}{L_1} \propto |\langle \alpha \beta | \mathcal{U} | \gamma \delta \rangle|^2 \rho_2(L_1) \propto L_1 \tag{14}$$

It was pointed out in reference [9] that, since  $\rho_2(L_1) \propto L_1^2 \rightarrow \rho_2^{\text{eff}}(L_1) \propto L_1^{1.75}$  in the presence of Hubbard repulsion, the enhancement factor should be weaker  $(L_2/L_1 \propto \sqrt{L_1})$ , a prediction confirmed by numerical calculations. It is likely that  $L_2/L_1 \propto L_1$  will be a better estimate for Coulomb repulsion.



**Fig. 5.** Inverse lifetime  $\Gamma_{\alpha\alpha}(L_1)$  of a 2FP state  $|\alpha\alpha\rangle$  with  $\epsilon_{\alpha} \approx 0$  for Hubbard ( $\diamond$ ) and Coulomb ( $\circ$ ) repulsion respectively. L = 420. The dashed (continuous) line corresponds to  $\Gamma_{\alpha\alpha} \propto L_1^{-1.65} (\propto L_1^{-1.1})$ .

(iii) 2FP lifetime: The inverse lifetime  $(\Gamma_{\alpha\beta})$  of a 2FP state  $|\alpha\beta\rangle$  is given by Fermi golden rule:

$$\Gamma_{\alpha\beta} \propto \sum_{\gamma\delta} |\langle \alpha\beta | \mathcal{U} | \gamma\delta \rangle|^2 \delta(E_{\alpha\beta} - E_{\gamma\delta})$$
(15)

If the density of coupled states is  $\rho_2(L_1) \approx L_1^2$ , a reasonable estimate for Coulomb repulsion, one gets

$$\Gamma_{\alpha\beta}(L=L_1) \propto |\langle \alpha\beta | \mathcal{U} | \gamma\delta \rangle|^2 \rho_2(L_1) \propto L_1^{-1}$$

In contrast, the lifetime should be longer for Hubbard repulsion:

$$\Gamma_{\alpha\beta}(L=L_1) \propto |\langle \alpha\beta | \mathcal{U} | \gamma\delta \rangle|^2 \rho_2^{\text{eff}}(L_1) \propto L_1^{-1.65}$$

since the density [9] of coupled states (by the second moment of  $|\langle \alpha\beta|\mathcal{U}|\gamma\delta\rangle|$ ) is  $\rho_2^{\text{eff}} \propto L_1^{1.35}$  for a 2FP state  $|\alpha\alpha\rangle$ .

Since diffusion constant, localization length and 2FP lifetime are related quantities, we restrict the numerical check to point (iii). In Figure 5, the inverse lifetime calculated in a chain of length L = 420 is shown as a function of  $L_1$ . When  $L_1 < L$ , one has  $\Gamma_{\alpha\alpha} \propto L_1^{-1.65}$  for Hubbard repulsion while  $\Gamma_{\alpha\alpha} \propto L_1^{-1.1}$  for Coulomb repulsion, close to a simple decay  $\propto L_1^{-1}$ . When L becomes larger than  $L_1$ , the lifetime becomes L-independent for Hubbard, and may continue to weakly decay as a function of L for Coulomb. Figure 5 shows us that this possible decay remains negligible.

#### 7 TIP spectral statistics

We now study how the spectral statistics depend on the interaction strength U for the two repulsions, using the distribution P(s) of energy spacings between consecutive levels and the variance  $\Sigma_2(E)$  of the number of levels inside an energy window of width E. We consider energy

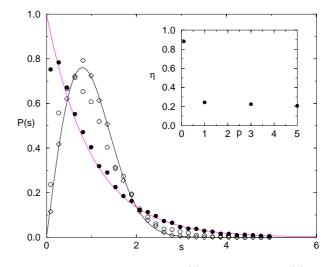


Fig. 6. Spacing distribution P(s) for Hubbard (•) and Coulomb ( $\diamond$ ) repulsions at U = 70 and  $L = L_1 = 50$ . The symbols ( $\circ$ ) correspond to on site plus nearest neighbor interactions. The levels are taken around  $E \approx 2U/L$  for Coulomb repulsion and around  $E \approx 0$  otherwise. The continuous lines correspond to  $P_{\rm P}(s)$  and  $P_{\rm W}(s)$  respectively. Inset: Parameter  $\eta$  around  $E \approx 0$  as a function of the range p of the interaction.

levels in the bulk of the low energy sub-band:  $E \approx 0$  for Hubbard repulsion and  $E \approx 2U/L$  for Coulomb repulsion (see Fig. 1). We take  $L = L_1$  for having the largest interaction matrix elements, and hence the maximum mixing of the 2FP states.

After unfolding the spectra, one expects

$$P(s) \approx P_{\rm W}(s) \approx \frac{\pi s}{2} \exp(-\frac{\pi}{4}s^2)$$
 (16)

and

$$\Sigma_2(E) \approx \Sigma_2^{\rm W}(E) \approx \frac{2}{\pi^2} (\ln(2\pi E) + \gamma + 1 - \frac{\pi^2}{8})$$
 (17)

 $(\gamma$  being the Euler constant) for correlated levels having Wigner-Dyson statistics, whereas one should have Poisson statistics with

$$P_{\rm P}(s) = \exp(-s) \tag{18}$$

and

$$\Sigma_2^{\mathbf{P}}(E) = E \tag{19}$$

for uncorrelated levels.

The TIP spectra are well described by Wigner-Dyson statistics for Coulomb energy to kinetic energy ratios  $U/(2tL) \approx 1$ . In Figure 6 and in Figure 7, one can see that the level spacing distribution P(s) and the number variance  $\Sigma_2$  are given by the Wigner surmise  $P_W(s)$  and by  $\Sigma_2^W(E)$  respectively near the critical ratio  $\approx 1.4$  for which the TIP system does not have a preferential eigenbasis (see Fig. 2). As shown by  $\Sigma_2(E)$  (Fig. 7), Wigner-Dyson spectral rigidity is established over an energy interval containing a few levels. In contrast, Hubbard repulsion can

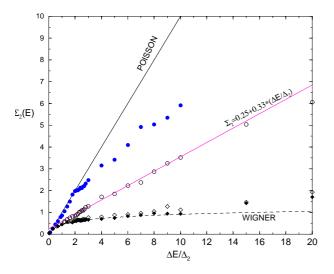


Fig. 7. Number variance  $\Sigma_2$  as a function of the energy interval E in units of TIP level spacing  $\Delta_2$ ; same symbols as in Figure 6 excepted the filled diamonds (Coulomb at U = 100 and  $L = L_1 = 100$ ).

only yield [10] around a ratio  $U_{\rm c} \approx 1$ 

$$P_{\rm SP} \approx 4s \exp(-(2s))$$
 (20)

and

$$\Sigma_2 \approx 0.16 + 0.41E \tag{21}$$

respectively.

To study how the spacing distribution depends on U, we use the spectral parameter  $\eta$  defined by

$$\eta(P,U) = \frac{\int_0^b ds [P(s) - P_{\rm W}(s)]}{\int_0^b ds [P_{\rm P}(s) - P_{\rm W}(s)]}$$
(22)

with b = 0.4729. For U = 0, the consecutive levels are essentially uncorrelated and  $\eta \approx 1$ . The curves  $\eta(U)$  given in Figure 9 show us a striking difference between the spectral statistics yielded by the two repulsions.

For  $L = L_1 = 100$ , let us estimate the interaction threshold  $U^*$  for which the interaction matrix elements coupling consecutive 2FP states become of the order of their energy separation  $\Delta_2$ .  $\rho_2 \approx 0.25$  (density normalized to 1) gives  $\Delta_2 \approx (\rho_2 L(L+1)/2)^{-1} \approx 4/5050$  (see insert of Fig. 1) for the energy spacing between consecutive 2FP levels around E = 0. The off-diagonal interaction matrix elements  $Q = H_{\alpha\beta}^{\gamma\delta}$  (Hubbard) or  $Q = C_{\alpha\beta}^{\gamma\delta}$  (Coulomb) coupling consecutive 2FP levels are normally distributed, as shown in Figure 8. The root mean square of Q is small for Hubbard ( $\approx 0.0038$ ) and larger for Coulomb ( $\approx 0.01$ ). This gives  $U_C^* \approx 0.08$  ( $U_C^*/2tL \approx 4 \times 10^{-4}$ ) for Coulomb and  $U_{\rm H}^* \approx 0.2$  for Hubbard.

When U increases but remains lower than  $U^*$ , there is first a perturbative regime discussed in references [18, 19] where the interaction yields Rabi oscillations between consecutive 2FP states at a frequency given by the absolute value of the coupling interaction matrix element.

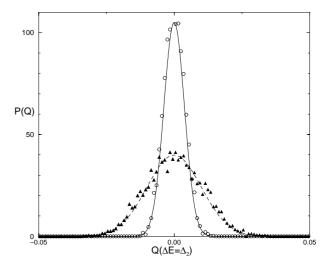


Fig. 8. Distribution P(Q) of the interaction matrix elements  $Q = H_{\alpha\beta}^{\gamma\delta}$  (Hubbard: circle) or  $Q = C_{\alpha\beta}^{\gamma\delta}$  (Coulomb: triangle) coupling nearest neighbor 2FP states (energy separation  $\approx \Delta_2$ ) for  $L = L_1$ . The distributions are fitted with Gaussian curves of variance  $\sigma^2 = 0.01^2$  (Coulomb, dashed line) and  $\sigma^2 = 0.0038^2$  (Hubbard, continuous line).

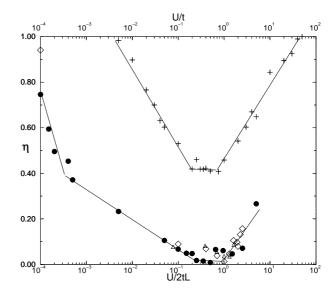


Fig. 9. Spectral parameter  $\eta$  as a function of U/t (Hubbard repulsion, upper scale) or U/(2tL) (Coulomb repulsion, lower scale) when  $L = L_1$ . Levels around  $E \approx 2U/L$  for Coulomb repulsion with  $L = 100(\bullet)$ ,  $50(\diamond)$  and  $65(\triangle)$ . Levels around  $E \approx 0$  for Hubbard repulsion (+) with L = 100.

Moreover, the energy range  $E_U$  under which one has level repulsion is given [18] by this Rabi frequency. When Ubecomes equal to  $U^*$ , one has a transition from this perturbative regime towards an effective Fermi golden rule decay of the 2FP states and the characteristic range  $E_U$ over which Wigner-Dyson rigidity occurs becomes [18,19] proportional to the square of the amplitude of the coupling matrix elements:  $E_U \propto U$  when  $U < U^*$  and  $E_U \propto U^2$  when  $U > U^*$ .  $U = U^*$  is the interaction threshold where the spectral statistics are intermediate between Poisson and Wigner ( $\eta \approx 0.39$  when  $P(s) \approx P_{\rm SP}(s)$ ). Looking at Figure 9, one can see that  $\eta$  decreases as a function of U down to the characteristic value  $\eta^* \approx 0.39$  reached when  $U \approx U_{\rm C}^* \approx 0.08$  for Coulomb repulsion ( $U_{\rm C}^*/2tL \approx 4 \times 10^{-4}$ ), and when  $U/t \approx U_{\rm H}^*/t \approx 0.2$  for Hubbard repulsion.

For Coulomb repulsion,  $\eta$  continues to decrease when  $U \geq U_{\rm C}^*$  down to  $\eta = 0$  with the slower U dependence characteristic of the effective golden rule decay of the 2FP states. The Wigner-Dyson distribution  $P_{\rm W}(s)$  is fully established around  $U/(2tL) \approx 0.5 - 1.4$  where the TIP system is exactly as far from the U = 0 eigenbasis than from the  $U = \infty$  eigenbasis. When  $U/(2tL) \geq 1.4$ , integrability is slowly restored and  $\eta \to 1$  as  $U \to \infty$ .

For Hubbard repulsion, the spectral rigidity does not continue to increase above  $U_{\rm H}^*$ , but saturates to the intermediate critical rigidity characterized by equation (20) and equation (21) for P(s) and  $\Sigma_2(E)$  respectively. Above the fixed point  $U_{\rm c} \approx 1$  of the duality transformation, the TIP system becomes closer to the  $U = \infty$  eigenbasis and the levels become statistically uncorrelated. This critical Hubbard regime is a complicated issue where the multifractal character of the interaction matrix described in reference [9] is very likely relevant. However, one can do the following remark. When  $L_1 \to \infty$ , the interaction matrix is block diagonal, a block corresponding to a pair momentum K. For Hubbard, the  $N_{\rm s}$  TIP levels  $E_n(K)$  of momentum K are located near the 2FP levels of same momentum when  $4U/L \rightarrow 0$  or  $4U/L \rightarrow \infty$ . One can assume that they should be near the middle of consecutive 2FP levels of same K for  $U \approx U_c$ . The distribution P(s)of levels located in the middle of levels with spacing distribution  $P_{\rm P}(s)$  is the semi-Poisson distribution  $P_{\rm SP}(s)$ . If the sequence of 2FP levels of momentum K were randomly distributed, the spacing distribution of the  $N_{\rm s}$  TIP levels should be given by  $P_{\rm SP}(s)$  without disorder. On the contrary, the interaction matrix being more random for Coulomb, one can expect that the L series of  $N_{\rm s}$  levels of momentum K will be driven towards Wigner-Dyson statistics as U increases. However, though the argument may give hints for the existence of the Hubbard  $P_{\rm SP}(S)$ , it does not explain the behavior of  $\Sigma_2(E)$ . The breakdown of momentum conservation by the disorder, and the associated mixing of the L independent series of  $N_s$  levels characterizing the clean limit plays a complex role.

The inset of Figure 6 shows how the spectrum becomes more rigid at U = 70 when the range p of the interaction is increased.  $\Sigma_2(E)$  displays a similar information in Figure 7.

# 8 Quantum melting for intermediate Coulomb repulsions

The intermediate Wigner-Dyson regime yielded by Coulomb repulsion corresponds to a complete melting of the localized 2FP states previous to crystallization, for a size  $L \approx L_1$ . To show this, we introduce two parameters

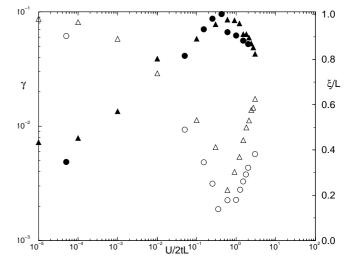


Fig. 10. Crystallization parameter  $\gamma$  (empty symbols, left scale) and number  $\xi$  of occupied sites divided by L (filled symbols, right scale) as a function of the dimensionless ratio U/(2tL) for the levels around E = 2U/L. Coulomb repulsion with  $L = L_1 = 50$  ( $\Delta$ ) and  $L = L_1 = 100$  ( $\circ$ ).

 $\gamma$  and  $\xi$ . For a TIP wavefunction  $|\Psi\rangle$ , we calculate the density  $\rho_i = \langle \Psi | c_i^{\dagger} c_i | \Psi \rangle$  at site *i* and the density density correlation function

$$C(r) = (1/2) \sum_{i} \rho_{i} \rho_{i-r}.$$
 (23)

The participation ratio  $\xi$  (*i.e.* the number of sites occupied by a TIP state) is given by

$$\xi = 2C(0)^{-1}.$$
 (24)

The crystallization parameter  $\gamma$  is given by the difference

$$\gamma = \operatorname{Max}_{r} C(r) - \operatorname{Min}_{r} C(r), \qquad (25)$$

where all translations r (including r = 0) are considered. If the electron density is homogeneous (as for an extended liquid state)  $\gamma \approx 0$  whereas  $\gamma \approx 1$  if the two charges are mainly located on two different sites, a situation occurring when  $U \to \infty$  ("Coulomb molecule") and when  $U \to 0$  and  $L_1 \to 0$  (two electrons located in two minima of the potential). The variations of  $\xi$  and  $\gamma$  are given in Figure 10 when Coulomb repulsion U increases. The curves  $\gamma(U)$  and  $\xi(U)$  are correlated to the curve  $\eta(U)$ shown in Figure 9: the curve  $\xi(U)$  shows us that the TIP states occupy a fraction of the chain without repulsion before being uniformly spread over a scale  $L_1$  at the interaction strength for which one has a maximum spectral rigidity. The value of the dimensionless ratio U/(2tL)where are the extrema of  $\gamma$  and  $\xi$  is of the order of one, and roughly coincides with the crossing of the two curves  $\chi(U)$ (participation ratio in Hilbert space) shown in Figure 2.

For  $L = L_1$ , one has an interaction induced quantum melting of the non interacting glass, yielding quantum ergodicity with "more liquid" and extended wavefunctions and Wigner-Dyson spectral statistics.

## 9 Conclusions

We have studied one of the simplest problems where quantum localization and two body interaction are in competition. We have seen that the interaction range makes important differences. One of them is revealed by the study of the spectral statistics, providing an intriguing puzzle for quantum ergodicity: the Wigner-Dyson statistics shrinking to intermediate statistics when the two body repulsion becomes local. However, the generic behavior of the TIP system can be summarized by three regimes, independently of the interaction range. There is the free particle limit dominated by quantum localization, the Coulomb limit dominated by the pinning of a correlated system of charges ("Coulomb molecule, Wigner crystal") or by quantum localization again (Hubbard repulsion). Between these two limits, there is an intermediate regime where one has a maximum mixing of the one body states, making the states more extended and the spectrum more rigid. This intermediate regime occurs for interaction energy to kinetic energy ratios U/t (Hubbard) and U/2tL (Coulomb) of order one. Our study was done for excited energies far from the TIP ground state. However, let us mention that similar effects (partial delocalization, more rigid spectral statistics) have also been observed near the many body ground state. One example is given by one dimensional spinless fermions [20] at half filling with nearest neighbor repulsion. Another example is given by two dimensional spinless fermions with Coulomb repulsion [21] where one has Wigner-Dyson spectral statistics for intermediate ratios  $r_{\rm s}$  at relatively low excitation energies (of the order of the Fermi energy), and at much higher excitations energies otherwise.

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